

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to:
Assistant Commissioner for Patents,
Attn: Box Missing Parts, Washington, D.C. 20231,
on

#3
PATENT

Attorney Docket No. 014643-009020US

3/17/97
TOWNSEND and TOWNSEND and CREW LLP

By [Signature]



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

Nils Lonberg et al.

Serial No.: 08/728,463

Filed: October 10, 1996

For: TRANSGENIC NON-HUMAN
ANIMALS FOR PRODUCING
HETEROLOGOUS ANTIBODIES

)
)
) Examiner: Unassigned

)
) Art Unit: Unassigned

)
) TRANSMITTAL LETTER - RESPONSE
TO NOTICE OF MISSING PARTS

Attn: Box Missing Parts
Assistant Commissioner for Patents
Washington, D.C. 20231

Sir:

Pursuant to the Notice to File Missing Parts of
Application - Filing Date Granted dated November 17, 1996,
enclosed are the following to be made of record in the above-
identified application:

- 1) Executed Declaration and Power of Attorney
- 2) Verified Statement Claiming Small Entity Status
- 3) Copy of Notice of Missing Parts
- 4) Petition to submit Sequence Listing with Sequence Listing in Hard and Electronic Format
- 5) Petition to Extend Time (3 months)
- 6) Postcard

Please charge Deposit Account No. 20-1430 for the following fees:

(a) Filing Fee (\$ 1.16(a)) (Small Entity)	\$ 385.00
(b) Excess Claims Fees (\$ 1.16(b), (c)):	
<u>30</u> - 20 = <u>10</u> x 11.00 =	110.00
<u>14</u> - 3 = <u>11</u> x 40.00 =	440.00
(c) Missing Parts Surcharge (\$1.16(e))	65.00
TOTAL FEES TO BE CHARGED	\$615.00

The Commissioner is hereby authorized to charge any additional

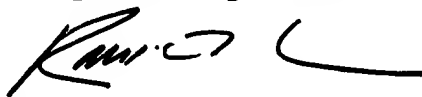
08728463-101096

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fees associated with this paper or during the pendency of this application, or credit any overpayment to Deposit Account No. 20-1430 for this paper and during the prosecution of this application. This Transmittal Letter is submitted in triplicate.

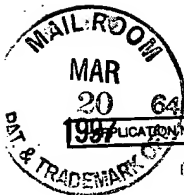
Respectfully submitted,



Randolph T. Apple
Reg. No. 36,429

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UNITED STATES DEPARTMENT OF COMMERCE
Patent and Trademark Office
Address: COMMISSIONER OF PATENTS AND TRADEMARKS
Washington, D.C. 20231 #3

APPLICATION NUMBER	FILING DATE	FIRST NAMED APPLICANT	ATTY. DOCKET NO./TITLE
08/728,463	10/10/96	LONBERG	N 14643-009020

0222/1117
TOWNSEND AND TOWNSEND AND CREW
TWO EMBARCADERO CENTER 8TH FLOOR
SAN FRANCISCO CA 94111

0000

11/17/96

DATE MAILED:

NOTICE TO FILE MISSING PARTS OF APPLICATION FILING DATE GRANTED

An Application Number and Filing Date have been assigned to this application. However, the items indicated below are missing. The required items and fees identified below must be timely submitted **ALONG WITH THE PAYMENT OF A SURCHARGE** for items 1 and 3-6 only of \$ 130.00 for large entities or \$ 65.00 for small entities who have filed a verified statement claiming such status. The surcharge is set forth in 37 CFR 1.16(e).

If all required items on this form are filed within the period set below, the total amount owed by applicant as a ☒ large entity, ☐ small entity (verified statement filed), is \$ 2000.00.

Applicant is given **ONE MONTH FROM THE DATE OF THIS LETTER, OR TWO MONTHS FROM THE FILING DATE** of this application, **WHICHEVER IS LATER**, within which to file all required items and pay any fees required above to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

1. ☒ The statutory basic filing fee is: ☒ missing ☐ insufficient. Applicant as a ☐ large entity ☐ small entity, must submit \$ 770 to complete the basic filing fee.
2. ☐ Additional claim fees of \$ 1100 as a ☒ large entity, ☐ small entity, including any required multiple dependent claim fee, are required. Applicant must submit the additional claim fees or cancel the additional claims for which fees are due.
3. ☐ The oath or declaration:
☐ is missing.
☐ does not cover the newly submitted items.
4. ☐ The oath or declaration does not identify the application to which it applies. An oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
5. ☒ The signature(s) to the oath or declaration is/are: ☒ missing; ☐ by a person other than the inventor or a person qualified under 37 CFR 1.42, 1.43, or 1.47. A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
6. ☐ The signature of the following joint inventor(s) is missing from the oath or declaration:
_____. An oath or declaration listing the names of all inventors and signed by the omitted inventor(s), identifying this application by the above Application Number and Filing Date, is required.
7. ☐ The application was filed in a language other than English. Applicant must file a verified English translation of the application and a fee of \$ _____ under 37 CFR 1.17(k), unless this fee has already been paid.
8. ☐ A \$ _____ processing fee is required since your check was returned without payment. (37 CFR 1.21(m)).
9. ☐ Your filing receipt was mailed in error because your check was returned without payment.
10. ☐ The application does not comply with the Sequence Rules. See attached Notice to Comply with Sequence Rules 37 CFR 1.821-1.825.
11. ☒ Other.

Direct the response to Box Missing Part and refer any questions to the Customer Service Center at (703) 308-1202.

To be returned with the response.

BE RETURNED WITH RESPONSE

BEST AVAILABLE COPY